Electronic Acknowledgement Receipt				
EFS ID:	4702354			
Application Number:	10001900			
International Application Number:				
Confirmation Number:	8995			
Title of Invention:	Balancing arbitragable tracking securities			
First Named Inventor/Applicant Name:	Steven M. Bloom			
Customer Number:	26161			
Filer:	Denis G. Maloney/Marie Collins			
Filer Authorized By:	Denis G. Maloney			
Attorney Docket Number:	09857-073001			
Receipt Date:	29-JAN-2009			
Filing Date:	14-NOV-2001			
Time Stamp:	18:25:33			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$670
RAM confirmation Number	6046
Deposit Account	061050
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
--------------------	----------------------	-----------	-------------------------------------	---------------------	---------------------

		1	1						
1	Appeal Brief Filed	09857-0073001_APPBRF.pdf	7493346	no	32				
Appeal blief Filed	09057-007-3001_AFF BNF.pui	46c31e528d3e7dcba7ae5a1cb0b2ccd753c 89821	110	32					
Warnings:	Warnings:								
Information									
2 Extension of Time	09857-0073001_EXT.pdf	179236	no	1					
2 Extension of finite	03037 0073001_EXT.pdf	e9e471ce6aeb263bf6b77915d1c5a4dc85f1 aac0		<u>'</u>					
Warnings:									
Information	Information:								
5 W LL (0T0 05)	C - 1 - C 1C	31977							
3 Fee Worksheet (PTO-06)	fee-info.pdf	4417e1358e91e5f552ea073fdf3c2f697b00 64ce	no	2					
Warnings:									
Information	1								
	Total Files Size (in bytes): 7704559								
			•						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.